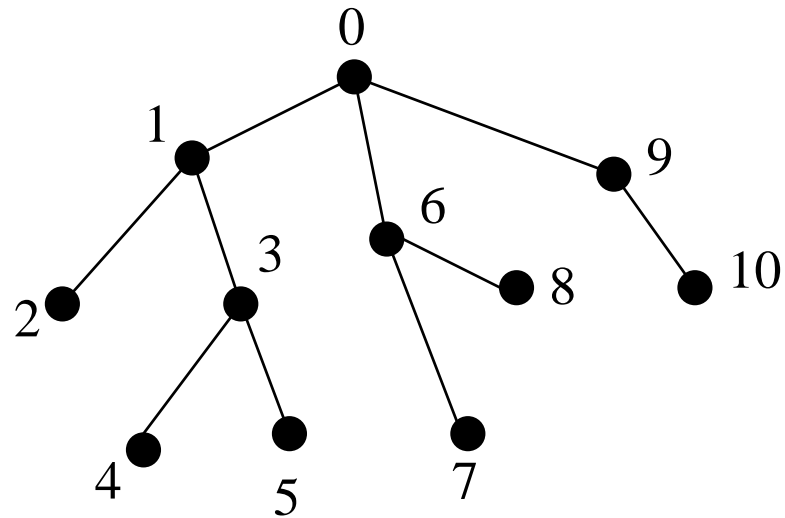


## **2-INF-237 Vybrané partie z datových štruktúr**

## **2-INF-237 Selected Topics in Data Structures**

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## Lowest common ancestor (LCA), najnižší spoločný predok



$v$  is ancestor of  $u$  if it is on the path from  $u$  to the root

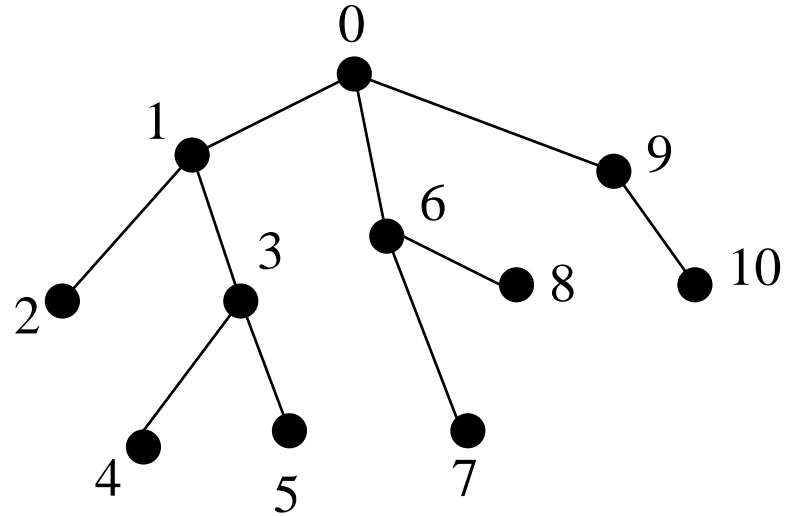
$\text{lca}(u, v)$ : node of greatest depth in  $\text{ancestors}(u) \cap \text{ancestors}(v)$

**Task:** preprocess tree  $T$  in  $O(n)$ , answer  $\text{lca}(u, v)$  in  $O(1)$

Harel and Tarjan 1984, Schieber a Vishkin 1988 (Gusfield book),

Bender and Farach-Colton 2000 (this lecture)

## Back to static trees

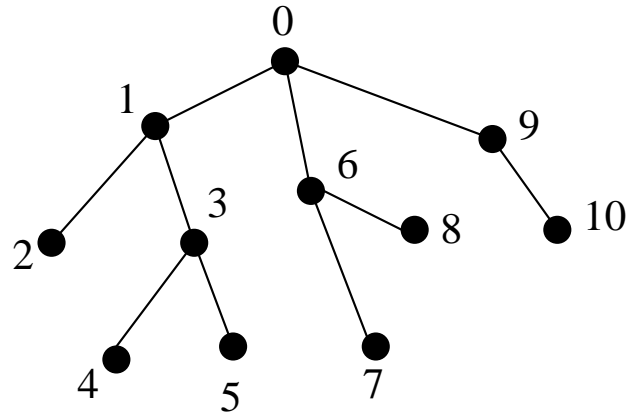


**Task:** preprocess tree  $T$  in  $O(n)$ , answer  $\text{lca}(u, v)$  in  $O(1)$

### Trivial solutions:

- no preprocessing,  $O(n)$  time per lca
- $O(n^3)$  preprocessing,  $O(n^2)$  memory,  $O(1)$  time per lca

## Lowest common ancestor (LCA)



$\text{lca}(u, v)$ : node of greatest depth which is ancestor of both  $u$  and  $v$

**Task:** preprocess tree  $T$  in  $O(n)$ , answer  $\text{lca}(u, v)$  in  $O(1)$

## Range minimum query (RMQ)

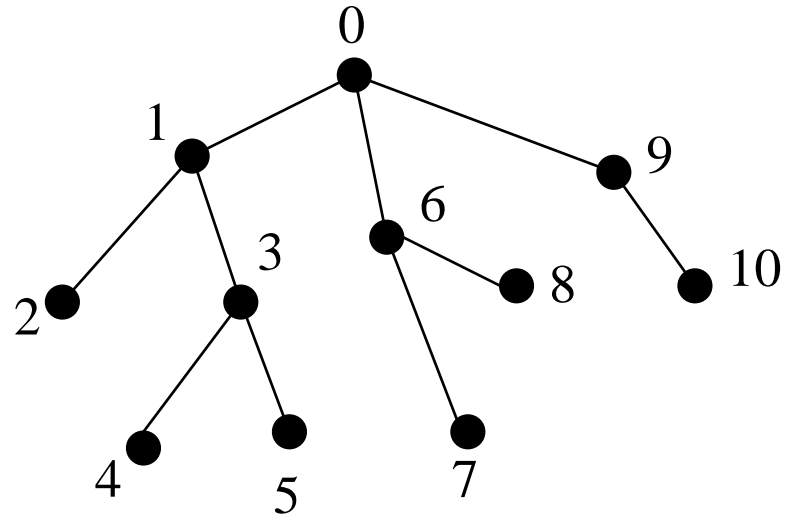
Array  $A$  of size  $n$

$$\text{RMQ}(i, j) = \arg \min_{k \in \{i, i+1, \dots, j\}} A[k]$$

**Task:** preprocess array  $A$  in  $O(n)$ , then answer  $\text{RMQ}(i, j)$  in  $O(1)$

## Solving LCA using RMQ

Preprocess tree to arrays V, D, R



V – visited nodes

D – their depths

R – first occurrence of node in V

i:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
V:	0	1	2	1	3	4	3	5	3	1	0	6	7	6	8	6	0	9	10	9	0
D:	0	1	2	1	2	3	2	3	2	1	0	1	2	1	2	1	0	1	2	1	0
i:	0	1	2	3	4	5	6	7	8	9	10										
R:	0	1	2	4	5	7	11	12	14	17	18										

## Solving LCA using RMQ

```
1 search(root, 0); // call recursion
2
3 void search(node v, int depth) {
4     R[v] = V.size;
5     V.push_back(v);
6     D.push_back(depth);
7     foreach child u of v {
8         search(u, depth+1);
9         V.push_back(v);
10        D.push_back(depth);
11    }
12 }
```

## RMQ algorithm 3

$M[i, k]$ : index of minimum in  $A[i..i + 2^k - 1]$  for  $k = 1, \dots, \lfloor \lg n \rfloor$

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12
$A[i]$	0	1	2	1	2	3	2	1	0	1	0	1	0

---

$k=1$	0	1	3	3	4	6	7	8	0	10	10	12	-
$k=2$	0	1	3	3	7	8	8	8	8	10	-	-	-
$k=3$	0	8	8	8	8	8	-	-	-	-	-	-	-

## RMQ algorithm 3

$M[i, k]$ : index of minimum in  $A[i..i + 2^k - 1]$  for  $k = 1, \dots, \lfloor \lg n \rfloor$

### Preprocessing:

if  $A[M[i, k - 1]] < A[M[i + 2^{k-1}, k - 1]]$ ,  $M[i, k] = M[i, k - 1]$   
else  $M[i, k] = M[i + 2^{k-1}, k - 1]$

### RMQ( $i, j$ ):

let  $k = \lfloor \lg(j - i + 1) \rfloor$

if  $A[M[i, k]] < A[M[j - 2^k + 1, k]]$ , return  $M[i, k]$

else return  $M[j - 2^k + 1, k]$

**Time:**  $O(n \log n)$  preprocessing,  $O(1)$  query



## LCA algorithm overview

- Compute arrays  $V, D, R$  by depth-first search in the tree
- Enumerate all possible  $+1, -1$  blocks of length  $m - 1$ , precompute answers for all intervals in each type
- Split  $D$  into blocks of length  $m = \log_2(n)/2$ , precompute minimum and its index in each block  $(A', M')$ , find type of each block
- Precompute  $O(n' \log n')$  data structure for RMQ in  $A'$
- For  $\text{lca}(u, v)$  a query:  
 $i = R[u], j = R[v]$ , find position  $k$  of minimum in  $D[i..j]$  as follows:
  - find block  $b_i$  containing  $i$ , block  $b_j$  containing  $j$
  - compute minimum in  $b_i \cap [i, j], b_j \cap [i, j]$
  - compute minimum in  $A'[b_{i+1} \dots b_{j-1}]$
  - find minimum of three numbers, let  $k$  be its index in  $D$return  $V[k]$

## Lowest common ancestor (LCA)

### Range minimum query (RMQ):

Alg.1 no preprocessing,  $O(n)$  query

Alg.2  $O(n^2)$  preprocessing,  $O(1)$  query

Alg.3  $O(n \log n)$  preprocessing,  $O(1)$  query

$\pm 1$ RMQ:  $O(n)$  preprocessing,  $O(1)$  time

split to blocks, use alg.2 within blocks, alg.3 between blocks

many blocks repeat in input – save time

**LCA:**  $O(n)$  preprocessing,  $O(1)$  time

use  $\pm 1$ RMQ on array of depths in depth-first search

**RMQ:** want  $O(n)$  preprocessing,  $O(1)$  time

convert back to LCA!

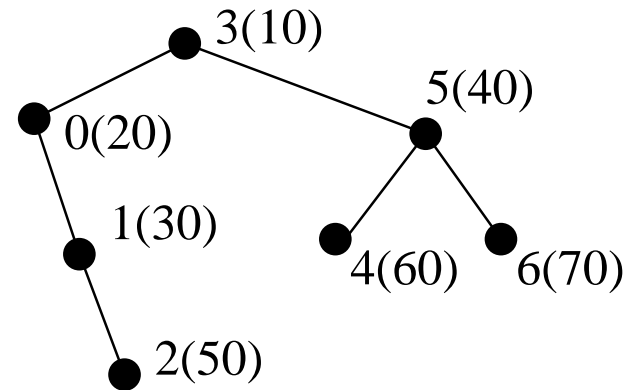
## RMQ using LCA

Cartesian tree for  $A$ : root: minimum in  $A$  (at position  $k$ )

left subtree: recursively for  $A[1..k-1]$

right subtree: recursively for  $A[k+1..n]$

$i$	0	1	2	3	4	5	6
$A[i]$	20	30	50	10	60	40	70



$A \rightarrow$  Cartesian tree in  $O(n)$ : add elements from left to right

$\min A[i..j] = \text{lca}(i, j)$

## Building a Cartesian tree

Use auxiliary value  $a[-1] = -\infty$

```
1 root = new node(-1, null);
2 r = root;
3 for(int i=0; i<n; i++) {
4     while(a[r.id]>a[i]) {
5         r = r.parent;
6     }
7     v = new node(i, r);
8     v.left = r.right;
9     r.right = v;
10    r = v;
11 }
```

## Lowest common ancestor (LCA)

### Range minimum query (RMQ):

Alg.2  $O(n^2)$  preprocessing,  $O(1)$  query

Alg.3  $O(n \log n)$  preprocessing,  $O(1)$  query

$\pm 1$ RMQ:  $O(n)$  preprocessing,  $O(1)$  time

split to blocks, use alg.2 within blocks, alg.3 between blocks

**LCA:**  $O(n)$  preprocessing,  $O(1)$  time

use  $\pm 1$ RMQ on array of depths in depth-first search

**RMQ:**  $O(n)$  preprocessing,  $O(1)$  time

use LCA on Cartesian tree

Direct method: split to blocks,

represent blocks by Cartesian trees (Fischer and Heun 2006)

## Precomputing values over intervals

Operation  $\circ$ , compute  $R_{\circ}(i, j) = A[i] \circ A[i + 1] \circ \dots \circ A[j]$

- Precompute all answers:  $O(n^2)$  preprocessing,  $O(1)$  query

- Precompute prefix “sums”  $R_{\circ}(0, i)$

good for groups (e.g.  $\circ = +$  over  $Z, Q, R$ , etc.)

$$R_{\circ}(i, j) = R_{\circ}(0, j) \circ R_{\circ}(0, i - 1)^{-1}$$

**Optional HW:** what about multiplication?

- Precompute intervals of sizes  $2^i$

combine 2 overlapping answers e.g. for min

- Segment trees: precompute non-overlapping intervals of sizes  $2^i$

combine several intervals to cover each element exactly once

good for any associative  $\circ$ , e.g. matrix multiplication

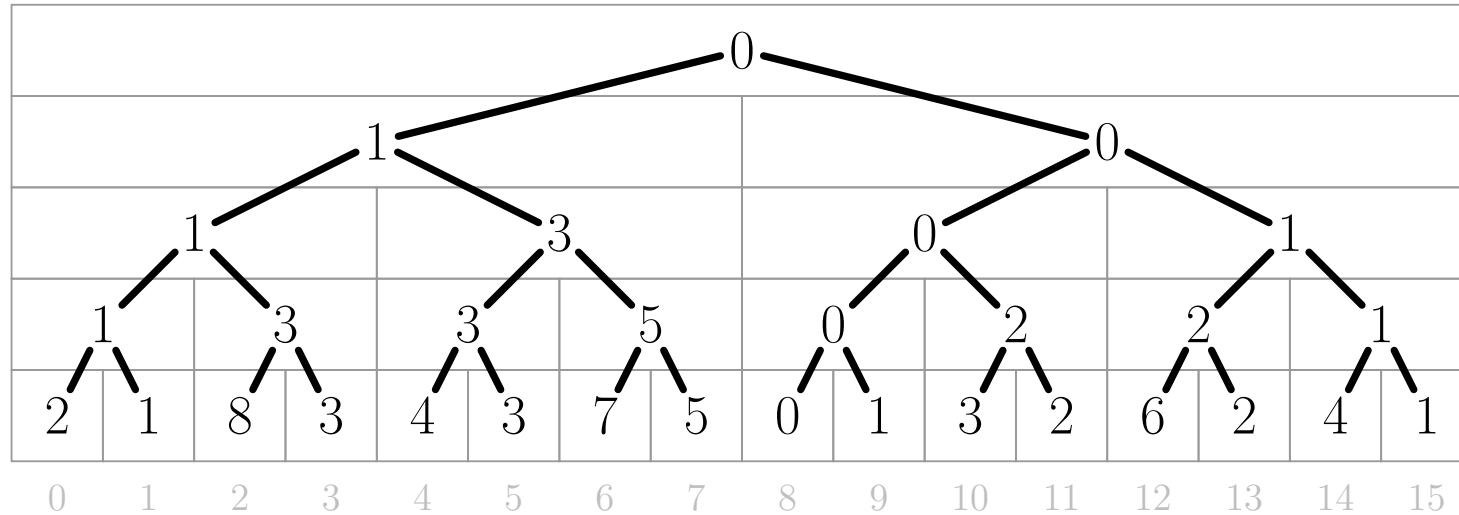
also good in case of dynamic updates of the array

## Segment tree

- Root corresponds to interval  $[0, n)$
- Leafs correspond to intervals  $[i, i + 1)$
- If a node corresponds to  $[i, j)$   
left child corresponds to  $[i, k)$ , right child to  $[k, j)$   
where  $k = \lfloor (i + j)/2 \rfloor$
- For each node  $[i, j)$  store  $R_o(i, j - 1) = A[i] \circ \dots \circ A[j - 1]$
- Total number of nodes  $2n - 1$ , height  $\lceil \lg n \rceil$

# Segment tree

Example for  $\circ = \min$



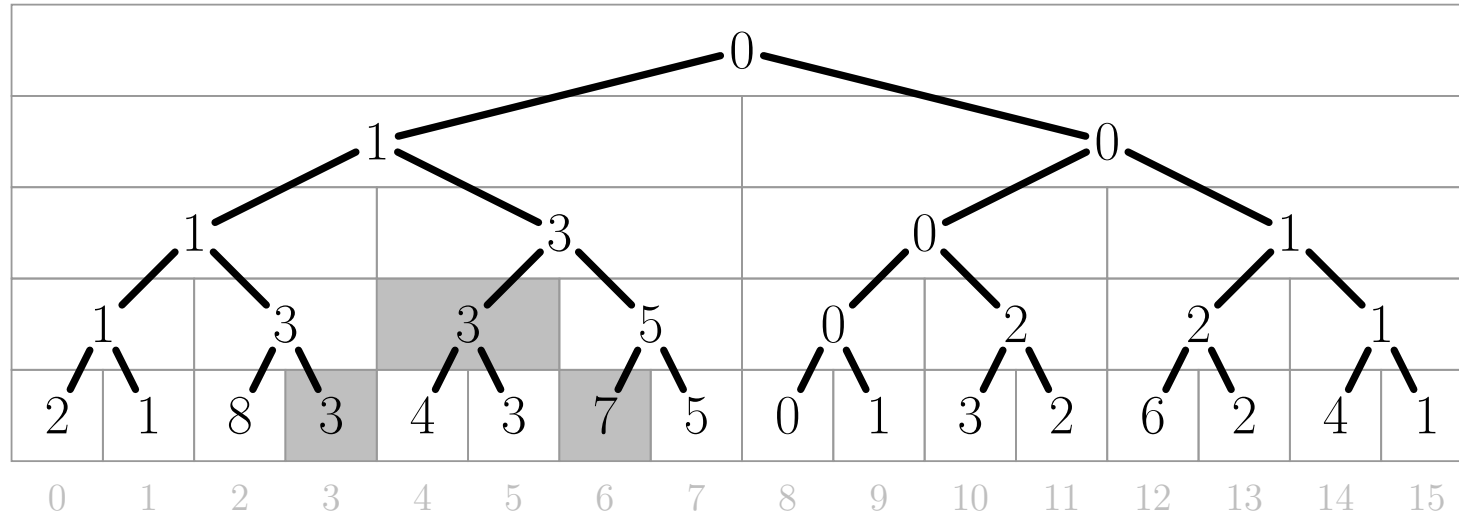
It might be more useful to store position of minimum, rather than value

The structure can be stored in an array similarly as binary heap



## Canonical decomposition

Decompose query interval  $[x, y)$  to a set of disjoint tree intervals



## Canonical decomposition

Decompose query interval  $[x, y)$  to a set of disjoint tree intervals

- Current node  $[i, j)$ , and its left child  $[i, k)$   
invariant:  $[i, j)$  overlaps with  $[x, y)$
- If  $[i, j) \subseteq [x, y)$ , return  $\{[i, j)\}$
- $R = \emptyset$
- If  $[i, k)$  overlaps with  $[x, y)$ , recurse on left child, add to  $R$
- If  $[k, j)$  overlaps with  $[x, y)$ , recurse on right child, add to  $R$
- Return  $R$

## Segment tree (summary)

- Tree of intervals, height  $O(\log n)$
- Root: entire array; leaves: intervals of length 1
- Each node stores the result of operation  $\circ$  on its interval
- Each internal node split into two disjoint intervals, left and right child
- Canonical decomposition: Each query interval can be written as union of  $O(\log n)$  disjoint intervals, these can be found in  $O(\log n)$  time
- To compute  $A[i] \circ \dots \circ A[j]$ , we need  $O(\log n)$  time for any associative  $\circ$
- Update of an element in  $A$  can be done in  $O(\log n)$  time

## Finding all small numbers

We have array  $A$  precomputed for RMQ.

For given  $i, j, x$  find all indices  $k \in \{i, \dots, j\}$  s.t.  $A[k] \leq x$ .

```
1 void small(i, j, x) {
2     if (j > i) return;
3     k = rmq(i, j);
4     if (a[k] <= x) {
5         print k;
6         small(i, k-1, x);
7         small(k+1, j, x);
8     }
9 }
```

Running time  $O(p)$ , where  $p$  is the number of printed indices