

## Plán semestra

Dnes: *úsporné dátové štruktúry, úvod do editačnej vzdialenosti*

Zajtra: *editačná vzdialenosť, najdlhšia spoločná podpostupnosť*

**Do pondelka:** výber článku na prezentáciu

Streda 17.4.: *zlepšenia výpočtu editačnej vzdialenosti*

Štvrtok 18.4.: prednáška nebude

Streda 24.4.: *približné výskyty vzorky, lokálne podobnosti, bioinformatika*

Štvrtok 25.4.: *zostavovanie DNA sekvencií, najkratšie spoločné nadslovo*

Streda 1.5.: sviatok

Štvrtok 2.5.: *viacnásobné zarovnanie, opakujúce sa sekvenčné motívy*

Streda 8.5.: sviatok

Štvrtok 9.5.: prezentácie

Streda 15.5.: prezentácie

Štvrtok 16.5.: prezentácie

## Succinct data structures

- Data structure uses  $\text{OPT} + o(\text{OPT})$  bits of memory and supports fast operations
- Rank and select on a binary vector of length  $n$  in  $O(1)$  time
- Wavelet tree supports rank over larger alphabet in  $O(\log \sigma)$  time, uses binary rank
- FM index counts occurrences of pattern  $P$  in  $T$  in  $O(m \log \sigma)$  time, uses BWT and wavelet tree

### Today:

- Compressed data structures (for rank)
- Succinct data structure for binary trees

## Succinct structure for rank and select

Bit vector  $A[0..n-1]$

$\text{rank}(i)$  = number of bits set to 1 in  $A[0..i]$

$\text{select}(i)$  = position of the  $i$ -th bit set to 1

### Example:

|        |   |   |   |   |   |   |   |   |
|--------|---|---|---|---|---|---|---|---|
| $i$    | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| $A[i]$ | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 1 |

$\text{rank}(3) = 2$ ,  $\text{rank}(4) = 3$

$\text{select}(1) = 1$ ,  $\text{select}(3) = 4$

### Goal:

$\text{rank}$ ,  $\text{select}$  in  $O(1)$  time

structure needs  $n + o(n)$  memory

we have concentrated on rank

## Succinct structure for rank

- Divide bit vector to superblocks of size  $t_1 = \log_2^2 n$
- Divide each super block to blocks of size  $t_2 = \frac{1}{2} \log_2 n$
- Keep rank at each super block boundary  
 $O\left(\frac{n}{t_1} \cdot \log n\right) = O(n / \log n) = o(n)$  bits
- Keep rank within super block at each block boundary  
 $O\left(\frac{n}{t_2} \cdot \log t_1\right) = O(n \log \log n / \log n) = o(n)$  bits
- Each block stored as a binary number using  $t_2$  bits  
 $n$  bits
- For each of  $2^{t_2}$  possible blocks and each query keep the answer  
 $O(2^{t_2} \cdot t_2 \cdot \log t_2) = O(\sqrt{n} \log n \log \log n) = o(n)$  bits

## Compressed structure for rank (Raman, Raman, Rao 2002)

- Compressed size of bit vector +  $o(n)$  bits
- Need to reduce the following part:  
Each block stored as a binary number using  $t_2$  bits
- Blocks with many 0s or many 1s stored using fewer bits
- For each block store the number of 1s  
 $O\left(\frac{n}{t_2} \log t_2\right) = O(n \log \log n / \log n) = o(n)$  bits
- For a block with  $x$  1s store its index in lexicographic order  
of all binary strings of size  $t_2$  with  $x$  1s  
 $\lceil \log_2 \binom{t_2}{x} \rceil \leq \log_2 2^{t_2} = t_2$  bits  
overall at most  $\frac{n}{t_2} t_2 = n$  bits
- Also rearrange the table with answers for all possible blocks of size  $t_2$

## Compressed structure for rank (RRR)

$$t_2 = 3$$

| 1s | Index | Size | Block | Answers |
|----|-------|------|-------|---------|
| 0  | 0     | 0    | 000   | 0 0 0   |
| 1  | 0     | 2    | 001   | 0 0 1   |
|    | 1     |      | 010   | 0 1 1   |
|    | 2     |      | 100   | 1 1 1   |
| 2  | 0     | 2    | 011   | 0 1 2   |
|    | 1     |      | 101   | 1 1 2   |
|    | 2     |      | 110   | 1 2 2   |
| 3  | 0     | 0    | 111   | 1 2 3   |

Original bits: 000|101|001|111|111

Number of 1s in each block: 00|10|01|11|11

Index of block:  $\epsilon$ |01|00| $\epsilon$ | $\epsilon$

Where each block starts: 0000|0000|0010|0100|0100

## Analysis of RRR structure

- Let  $S$  be a string in which  $a \in \Sigma$  occurs  $n_a$  times
- Its **entropy** is  $H(S) = \sum_a \frac{n_a}{n} \log_2 \frac{n}{n_a}$
- $H(S) \leq \log_2 \sigma$  (lower if some characters more frequent than others)
- RRR structure for bit vector  $B$  uses  $nH(B) + o(n)$  **bits**

## Stirling's approximation of $n!$

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n (1 + O(1/n))$$

$$\ln(n!) = n \ln(n) - n + O(\ln(n))$$

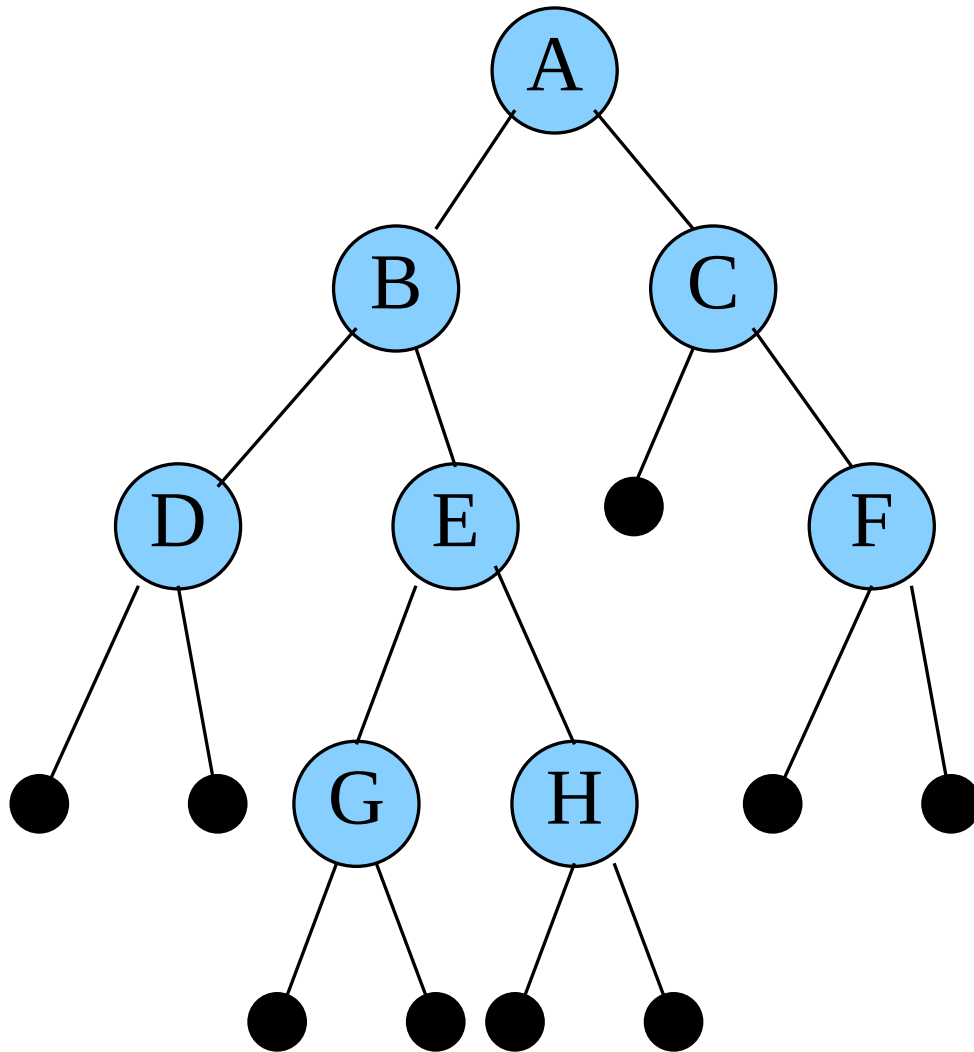
## Uses of RRR structure

- Store binary rank structures in the wavelet tree for text  $T$   
overall  $nH(T) + o(nH(T))$  bits
- Instead of wavelet tree, store indicator vector for each  $a \in \Sigma$   
overall  $nH(T) + \sigma o(n)$  bits  
 $O(1)$  per rank query
- FM index needs rank in BWT of  $T$ , which might be even better compressible

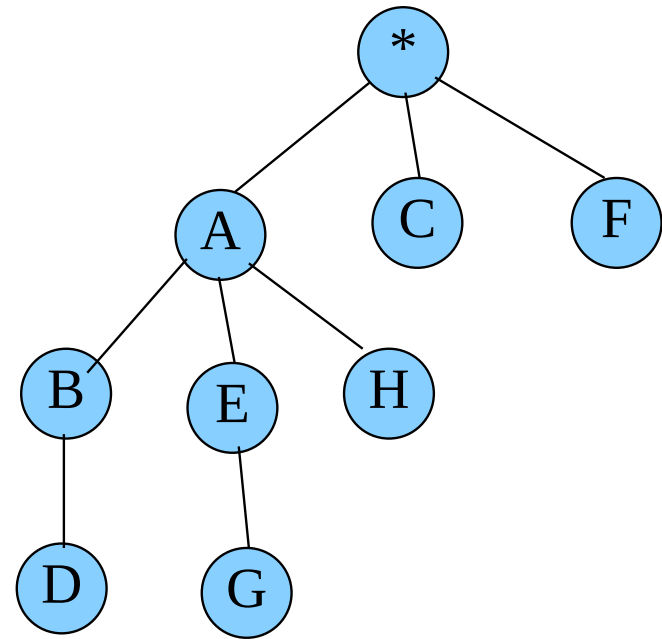
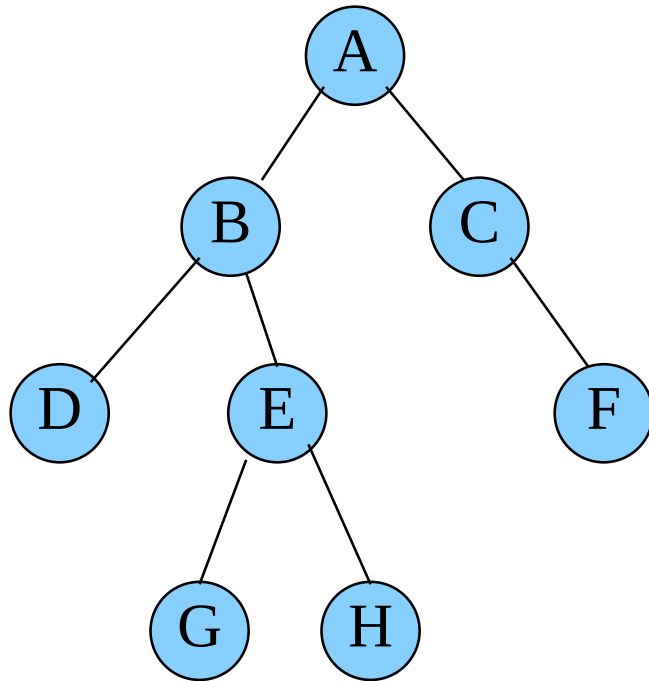
## Succinct binary trees

- Consider all binary trees with  $n$  nodes
- OPT is cca  $2n$  bits (proof later)
- Use  $2n + o(n)$  memory
- Support operations left child, right child, parent in  $O(1)$
- Nodes are numbers from  $\{1, \dots, 2n + 1\}$   
Using rank can be mapped to  $\{1, \dots, n\}$   
These can be then used as indices to arrays with additional data
- Can be part of binary tries, binary suffix trees  
Extensions to larger alphabets exist
- Static trees only, construction requires more memory
- Classical trees with pointers use  $\Omega(n \log n)$  bits

## Succinct binary trees: level order representation



## Equivalence of binary trees and rooted ordered trees



Rooted ordered tree as a well-parenthesized expression:

$((((( )))(( ))( ))( ))( ))$

\*ABDDBEGGEHHACCCFF\*

## Counting well-parenthesized expressions

**Example:**  $|X(3, 3, -\infty) \setminus X(3, 3, 0)| = |X(2, 4, -\infty)| = 15$

$$|X(3, 3, -\infty)| = 20$$

$$|X(3, 3, 0)| = 20 - 15 = 5 = C_3$$

First four examples out of 15:

